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| **MODIFICATION PROPOSAL FORM** | | | | | |
| **Proposer** | **Date of receipt** | | **Type of Proposal** | | **Modification Proposal ID** |
| **SEMO** | **01/08/2013** | | **Standard** | | **Mod\_09\_13** |
| **Contact Details for Modification Proposal Originator** | | | | | |
| **Name** | | **Telephone number** | | **Email address** | |
| **Mike Harrington** | | **(01) 23 70445** | | **Michael.Harrington@sem-o.com** | |
| **Modification Proposal Title** | | | | | |
| **Amendment of AP7 to include the use of e-mail notification and the SEMO public website in the event of a GSF.** | | | | | |
| **Documents affected** | | **Section(s) Affected** | | **Version number of T&SC or AP used in Drafting** | |
| **AP 7** | | **3.1, 5.1** | | **V13.0** | |
| **Explanation of Proposed Change**  *(mandatory by originator)* | | | | | |
| It is proposed to amend AP7 to include the use of the SEMO public website and market message e-mail alerts to supplement the current emergency communication methods. The methods set out in AP7 are fax and telephony based. The use of fax and telephone calls in practice does not lend itself to efficient communication with affected parties in the event of a General Systems Failure whereas using the SEMO public website and e-mail alerts is instant and the established participant communication method. | | | | | |
| **Legal Drafting Change**  *(Clearly show proposed code change using* ***tracked*** *changes, if proposer fails to identify changes, please indicate best estimate of potential changes)* | | | | | |
|  | | | | | |
| * 1. General System Failure   3.1.1 Overview  Following notification of a General System Failure the Market Operator will provide regular (i.e. not less than daily) status updates to each impacted Party of the General System Failure. Each status update will include the original notification and will provide each impacted Party with an estimate of the restoration time and when the Market Operator’s Isolated Market System will be available again. Restoration time will be categorised in one of five bands namely; less than 1 hour, 1-4 hours, 4-12 hours, 0.5-2 days, greater than 2 days from the date of the original notification. Should the restoration category change then a further notification will be made by the Market Operator.  Notification of a General System Failure will be provided to Market Participants via the SEMO web site and web site e-mail alerts. The web site e-mail alerts are subscribed to by Participants as part of the web site functionality. If the SEMO website is unavailable then the Market Operator will use e-mail to notify Participants. SEMO maintain a separate distribution list of Participant e-mails that will be used to e-mail Participants in this event. In the unlikely event that both the SEMO web site and e-mail are unavailable then the Market Operator will use fax to notify Participants.  **5 Procedural Steps**  **5.1General System Failure**   | **#** | **Procedural Step** | **Timing** | **Method** | **By / From** | **To** | **Linkage** | | --- | --- | --- | --- | --- | --- | --- | | 1 | Attempt restoration of the Market Operator’s Isolated Market System to the timelines and standards under Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support” | Immediately following determination of a General System Failure | - | Market Operator |  | Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support” | | 2 | Notify all impacted Parties of a General System Failure of the Market Operator’s Isolated Market System . | Immediately following the missing of one of the deadlines. | Market Operator’s website, e-mail alert, or Fax | Market Operator | All impacted Parties |  | | 6 | Notify all impacted Parties of alternative communication method and any associated timelines. | Within 1 hour of determination of General System Failure | Market Operator’s website ,e-mail alert or Fax | Market Operator | All impacted Parties |  | | 7 | Invoke internal procedures to switch to alternative communication methods | Immediately following receipt of communication method details. | - | All impacted Parties |  |  | | 8 | Notify all impacted Parties of best estimate of when the Market Operator Isolated Market System will become available | Following change to best estimate of recovery or at 17:00 hours each day | Market Operator’s website, e-mail alert or Fax | Market Operator | All impacted Parties |  | | 9 | Notify all impacted Parties of restoration of the Market Operator’s Isolated Market System | Immediately following restoration of the Market Operator’s Isolated Market System | Market Operator’s website, e-mail alert or Fax | Market Operator | All impacted Parties |  | | 10 | Recommence processing and restore operation to normal timescales and inform all impacted Parties of the changes to the Settlement Calendar as a result of the General System Failure. | As soon as reasonably possible following the restoration of the Market Operator’s Isolated Market System | Market Operator’s website, e-mail alert or Fax | Market Operator | All impacted Parties |  | | 11 | Commission an externally audited report in line with Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support” | Within timescales defined in AP11 | - | Market Operator |  | Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support” | | | | | | |
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| An issue was raised in relation to AP7 as part of the SEM Market Audit, specifically in relation to the steps that SEMO needs to follow when a General Systems Failure is declared. | | | | | |
| **Code Objectives Furthered**  *(State the Code Objectives the Proposal furthers, see Section 1.3 of T&SC for Code Objectives)* | | | | | |
| 1. To facilitate the efficient discharge by the Market Operator of the obligations imposed upon it by its Market Operator Licences;   5. To provide transparency in the operation of the Single Electricity Market; | | | | | |
| **Implication of not implementing the Modification Proposal**  *(State the possible outcomes should the Modification Proposal not be implemented)* | | | | | |
| A lack of transparency will remain in the method of communication with Market Participants during a General System Failure. | | | | | |
| **Working Group**  *(State if Working Group considered necessary to develop proposal)* | | | **Impacts**  *(Indicate the impacts on systems, resources, processes and/or procedures)* | | |
| No. | | | Change in communication process for General System Failure. No CMS changes. | | |
| ***Please return this form to Secretariat by email to*** [***modifications@sem-o.com***](mailto:modifications@sem-o.com) | | | | | |

**Notes on completing Modification Proposal Form:**

1. **If a person submits a Modification Proposal on behalf of another person, that person who proposes the material of the change should be identified on the Modification Proposal Form as the Modification Proposal Originator.**
2. **Any person raising a Modification Proposal shall ensure that their proposal is clear and substantiated with the appropriate detail including the way in which it furthers the Code Objectives to enable it to be fully considered by the Modifications Committee.**
3. **Each Modification Proposal will include a draft text of the proposed Modification to the Code unless, if raising a Provisional Modification Proposal whereby legal drafting text is not imperative.**
4. **For the purposes of this Modification Proposal Form, the following terms shall have the following meanings:**

**Agreed Procedure(s): means the detailed procedures to be followed by Parties in performing their obligations and functions under the Code as listed in Appendix D “List of Agreed Procedures”.**

**T&SC / Code: means the Trading and Settlement Code for the Single Electricity Market**

**Modification Proposal: means the proposal to modify the Code as set out in the attached form**

**Derivative Work: means any text or work which incorporates or contains all or part of the Modification Proposal or any adaptation, abridgement, expansion or other modification of the Modification Proposal**

**The terms “Market Operator”, “Modifications Committee” and “Regulatory Authorities” shall have the meanings assigned to those terms in the Code.**

**In consideration for the right to submit, and have the Modification Proposal assessed in accordance with the terms of Section 2 of the Code (and Agreed Procedure 12), which I have read and understand, I agree as follows:**

**1. I hereby grant a worldwide, perpetual, royalty-free, non-exclusive licence:**

* 1. **to the Market Operator and the Regulatory Authorities to publish and/or distribute the Modification Proposal for free and unrestricted access;**
  2. **to the Regulatory Authorities, the Modifications Committee and each member of the Modifications Committee to amend, adapt, combine, abridge, expand or otherwise modify the Modification Proposal at their sole discretion for the purpose of developing the Modification Proposal in accordance with the Code;**
  3. **to the Market Operator and the Regulatory Authorities to incorporate the Modification Proposal into the Code;**

**1.4 to all Parties to the Code and the Regulatory Authorities to use, reproduce and distribute the Modification Proposal, whether as part of the Code or otherwise, for any purpose arising out of or in connection with the Code.**

**2. The licences set out in clause 1 shall equally apply to any Derivative Works.**

**3. I hereby waive in favour of the Parties to the Code and the Regulatory Authorities any and all moral rights I may have arising out of or in connection with the Modification Proposal or any Derivative Works.**

**4. I hereby warrant that, except where expressly indicated otherwise, I am the owner of the copyright and any other intellectual property and proprietary rights in the Modification Proposal and, where not the owner, I have the requisite permissions to grant the rights set out in this form.**

**5. I hereby acknowledge that the Modification Proposal may be rejected by the Modifications Committee and/or the Regulatory Authorities and that there is no guarantee that my Modification Proposal will be incorporated into the Code.**