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| **MODIFICATION PROPOSAL FORM** |
| **Proposer** | **Date of receipt** | **Type of Proposal** | **Modification Proposal ID** |
| **SEMO** | **21 November 2012** | **Standard**  | **Mod\_20\_12\_v2** |
| **Contact Details for Modification Proposal Originator** |
| **Name** | **Telephone number** | **Email address** |
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| **Modification Proposal Title** |
| **Timelines in relation to the scheduling of Ad Hoc Resettlement following an upheld Query** |
| **Documents affected** | **Section(s) Affected** | **Version number of T&SC or AP used in Drafting** |
| **Agreed Procedure 13** | **AP 13: Sections 2.2.3, 2.2.4, 3.2.** | **V11.0** |
| **Explanation of Proposed Change***(mandatory by originator)* |
| In raising this Modification, SEMO is seeking to improve the approach taken in relation to the management of ad hoc resettlement as a result of upheld formal queries.Agreed Procedure 13 stipulates that in the event of an upheld formal Query being deemed to have High Materiality or occurring post M+13 timetabled resettlement, the MO must complete such ad hoc re-settlement within 20 Working Days of receipt of revised external data. In the event that ad hoc resettlement is required for a period greater than two Billing Periods for Energy or one billing month for Capacity, SEMO is looking to modify Agreed Procedure 13 to allow for an additional 10 Working Days for a suitable timeline for completion of such ad hoc resettlement.Large volumes of resettlement to be completed within tight timelines has, not only an impact on Market Operator resources, but Market Participants resources and systems. This is due to the amount of data being prepared, published and managed such as statements, reports, invoicing and associated payments which would be in addition to data already schedule as part of timetabled Settlement, M+4 and M+13 Re-Settlement. |
| **Legal Drafting Change***(Clearly show proposed code change using* ***tracked*** *changes, if proposer fails to identify changes, please indicate best estimate of potential changes)* |

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| 2.2.3 Determinations of Settlement Query MaterialityChanges to Settlement resulting from the resolution by the Market Operator of a Settlement Query will be placed into one of the two following categories:1. Change to Settlement Item with Low Materiality, i.e. Settlement change estimated to be under €50,000
2. Change to Settlement Item with High Materiality, i.e. Settlement change estimated to be equal to or over €50,000

The Market Operator may utilise reasonable manual methods to determine the materiality of any changes to Settlement Items, using information and data provided manually from Participants, System Operators, Interconnector Administrator and/or Meter Data Providers. The Market Operator shall inform the Raising Party and the Affected Participant(s) (if any) of the determination and of an estimate of the materiality within the timescales specified in section 2.2.2. If the Raising Party disagrees with the determination and/or the estimate of materiality then it may dispute this finding within five Working Days of being informed and may raise a Settlement Dispute in accordance with Agreed Procedure 14 “Disputes”.* + 1. Corrective Actions

, unless such Settlement Rerun is required for a period greater than two Billing Periods for Trading Payments and Trading Charges or one Capacity Period for Capacity Payments and Capacity Charges. In such circumstances, SEMO will seek a maximum of 10 additional Working Days to allow for completion of such Settlement Reruns and issue a relevant update to the Settlement Calendar. Where the resolution of a Settlement Query requires a Settlement Rerun and an issue of an Invoice or Self-Billing Invoice, such Settlement Rerun will only be carried out in relation to the Settlement Day(s) that are the subject of the Settlement Query and within the scope of the Invoice or Self-Billing Invoice as appropriate. The result of that Settlement Rerun will be applied to all Participants.In the event that the Raising Party is not satisfied with the Market Operator’s determination of a Settlement Query, the Raising Party may raise a Settlement Dispute under Agreed Procedure 14 “Disputes” within 5 Working Days of receipt of the Market Operator’s determination.3.2 Settlement Query

| **#** | **Procedural Step** | **Timing** | **Mechanism** | **By / From** | **To** | **Linkage** |
| --- | --- | --- | --- | --- | --- | --- |
| 1 | Raise Settlement Query (see form in Appendix 2) | Prior to last Timetabled Settlement Rerun + 5 WD | Email / Fax / registered overnight mail | Raising Party | Market Operator |  |
| 2 | Log Settlement Query in accordance with Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support”. Validate Settlement Query for completeness and from authorised person as set out in Appendix 3* If valid continue from step 5
* If invalid continue from step 3
 | Within 1 WD |  | Market Operator |  |  |
| 3 | Close log in accordance with Agreed Procedure 11 “Market System Operation, Testing, Upgrading and Support” and inform Raising Party of reasons for invalidity  | Immediately | Email / Fax / registered overnight mail | Market Operator | Raising Party |  |
| 4 | Review invalidity reasons* Correct and resubmit – Continue from step 1
* Do not resubmit – and continue from step 30
 |  |  | Market Operator |  |  |
| 5 | Inform Raising Party of completeness of Settlement QueryContinue from step 6  | Immediately | Email / Fax / registered overnight mail | Market Operator | Raising Party |  |
| 6 | Establish whose data is being queried* If it is a Meter Data Provider, a System Operator or the Interconnector Administrator (an External Data Provider) continue from step 14
* If it is another Participant’s data (The Affected Participant(s)) continue from step 7
* If it is regarding the calculation of a data item continue from step 13
* If the Raising Party is an External Data Provider continue from Step 13
 | Within 1 WD of receiving the Settlement Query |  | Market Operator |  |  |
| 7 | Inform the Affected Participant(s) of the Settlement Query requesting its comment on the validity | Within 1 WD of receiving the Settlement Query  | Email / Fax / registered overnight mail | Market Operator  | Affected Participant(s) |  |
| 8 | Process Settlement Query requestIf Query can be processed within requested timescales continue from step 12 otherwise continue from step 9 | Within timescales specified by the MO | Email / Fax / registered overnight mail | Affected Participant(s)  |  |  |
| 9 | Notify inability to process Settlement Query request | Within timescales specified by the MO |  | Affected Participant(s) | Market Operator |  |
| 10 | If Market Operator can make a determination without the Affected Participant information continue from step 13 otherwise continue from step 11 | Within timescales agreed with Raising Party | Email / Fax / registered overnight mail | Market Operator |  |  |
| 11 | Raise a Settlement Dispute in accordance with Agreed Procedure 14 “Disputes” because of inability to make determination within required timescales.Continue from step 30 | After lapse of timescales agreed with Raising Party | In accordance with Agreed Procedure 14 “Disputes”  |  |  |  |
| 12 | Provide comments to Market Operator | Within 15 WD of receipt from MO | Email / Fax / registered overnight mail | Affected Participant(s)  | Market Operator |  |
| 13 | Make a determination on the Settlement Query, including estimation of Materiality of any change to Settlement Items taking into account any comments from the Affected Participant(s). If determination can be made within the timescales agreed with the Raising Party continue from step 18 otherwise continue from Step 11If Raising Party is an External Data Provider continue from step 17 | Within timescales agreed with Raising Party |  | Market Operator | - |  |
| 14 | Send Settlement Query to External Data Provider. Note that the Market Operator may request a manual communication of the estimation of the change to data items which are being queried, or may request a full formal update of all data from the External Data Provider over Communication Channel Type 2 or Type 3. | Within 1 WD of receiving the Settlement Query | Email / Fax / registered overnight mail | Market Operator | External Data Provider |  |
| 15 | Process Settlement Query requestIf Query can be processed within requested timescales continue from step 17 otherwise continue from step 16 | Within timescales specified by MO | Email / Fax / registered overnight mail | External Data Provider  | - |  |
| 16 | Notify inability to process Settlement Query requestContinue from step 11 | Within timescales specified by MO | Email / Fax / registered overnight mail | External Data Provider | Market Operator |  |
| 17 | Process the Settlement Query. Inform Market Operator of findings and potentially reissue any corrected data | Within timescales specified by MO |  Email / Fax / registered overnight mail (notification of determination)Communication Channel (revised data) | External Data Provider | Market Operator | Potentially AP16 (for ad hoc Meter Data file send) |
| 18 | If Market Operator completes the examination of the Settlement Query within timeframe, inform the Raising Party and the Affected Participant(s) of the Market Operator’s determination, including any estimate of Materiality and the method of estimating that Materiality. Go to step 22If not, go to step 19 | Within one Month | Email / Fax / registered overnight mail | Market Operator | Affected Participant(s)Raising Party |  |
| 19 | Market Operator requests more time (up to 10 Working Days) from the Raising Party to resolve the Settlement Query | Within one Working Day of known non-completion of Settlement Query by Market Operator | Email / Fax / registered overnight mail | Market Operator | Affected Participant(s)Raising Party |  |
| 20 | Respond to request from Market Operator | Within 1WD | Email / Fax / registered overnight mail | Raising Party | Market Operator |  |
| 21 | Notify Affected Party/External Data Provider of any changes to agreed timeline | Within 1 WD | Email / Fax / registered overnight mail | Market Operator | Affected Party/External Data Provider |  |
| 22 | Inform the Raising Party and the Affected Participant(s) of the Market Operator’s determination, including any estimate of Materiality and the method of estimating that Materiality | Within timescales agreed with Raising Party | Email / Fax / registered overnight mail | Market Operator | Affected Participant(s)Raising Party |  |
| 23 | Receive and review the determination* If not disputing the determination – do nothing and continue from Step 25
* If disputing with the determination continue from Step 24
 | Within 5WD of receipt of determination | - | Raising Party | - |  |
| 24 | Raise a Settlement Dispute under Agreed Procedure 14 “Disputes” and inform the Market Operator and continue from Step 30 | Within 5WD of receipt of determination | In accordance with Agreed Procedure 14 “Disputes”  | Raising Party | Market Operator |  |
| 25 | If the Materiality is High or it is after the last Timetabled Settlement Rerun then continue from step 26 otherwise continue from step 29  |  | - | Market Operator | - |  |
| 26 | Inform External Data Provider of determination and Send a further External Data Provider Notification Form (see Appendix 2) to the External Data Provider if there are further data requirements.  | Within 1 WD of determination  | Email / Fax / registered overnight mail  | Market Operator | External Data Provider | AP16 (for ad hoc Meter Data file send) |
| 27 | Provide revised data.  | Within 10 WD of notification  | - | External Data Providers  | - Market Operator |  |
| 28 | Schedule a dedicated Settlement Rerun and continue from step 30 | Within a maximum of 30 Working Days of the date of successful submission of revised data from External Data Providers. | Various | Market Operator |  | AP15 (for Settlement Rerun) |
| 29 | Ensure revised data is used in next Timetabled Settlement Rerun Statement (including where necessary the recalculation of SMP and MSQs). | Prior to next Timetabled Settlement Rerun |  | Market Operator |  |  |
| 30 | End process |  |  |  |  |  |

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| **Modification Proposal Justification***(Clearly state the reason for the Modification)* |
| The Modification is being raised to facilitate the MO and Market Participants agreeing a suitable timetable for any ad hoc resettlement directly as a result of upheld formal Queries. By spreading publishing and invoicing across a period 30 Workings Days, if required, it will allow all parties to effectively manage, monitor and deal with increased volumes of information more efficiently. |
| **Code Objectives Furthered***(State the Code Objectives the Proposal furthers, see Section 1.3 of T&SC for Code Objectives)* |
| The Modification furthers Code Objective 1.3.2 "to facilitate the efficient operation and administration of the Single Electricity Market." |
| **Implication of not implementing the Modification Proposal***(State the possible outcomes should the Modification Proposal not be implemented)* |
| If this Modification is not implemented, and were such considerable volume of resettlement is required, it would not be feasible for the MO to complete within the required 20 Working Days without being in breach of the Agreed Procedure 13 as it currently stands. |
| **Working Group***(State if Working Group considered necessary to develop proposal)* | **Impacts***(Indicate the impacts on systems, resources, processes and/or procedures)* |
| Not required | No system impacts, process change.  |
| ***Please return this form to Secretariat by email to*** ***modifications@sem-o.com*** |