|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **MODIFICATION PROPOSAL FORM** | | | | | |
| **Proposer** | **Date of receipt** | | **Type of Proposal** | | **Modification Proposal ID** |
| TSOs (SONI and Eirgrid) | 26/07/2011 | | Standard | | Mod\_26\_11 |
| **Contact Details for Modification Proposal Originator** | | | | | |
| **Name** | | **Telephone number** | | **Email address** | |
| Nicola Calvert | | +44 28 90707515 | | Nicola.calvert@soni.ltd.uk | |
| **Modification Proposal Title** | | | | | |
| Process change for assessing Firm Access Quantity of Trading Site (FAQSst) | | | | | |
| **Documents affected**  *(delete as appropriate)* | | **Section(s) Affected** | | **Version number of T&SC or AP used in Drafting** | |
| AP | | AP1 | | Version 9.0 | |
| **Explanation of Proposed Change**  *(mandatory by originator)* | | | | | |
| As a result of Modification 41\_10 (Validation of Firm Access Quantity of Trading Site (FAQSst) by the System Operator)being approved by the RAs on 5th March 2011 an action was placed on the SO to ensure this was incorporated into the business process between SEMO/TSO and Market Participants. This is to ensure the Firm Access Quantity of Trading Site (FAQSst) parameter is scheduled correctly in SEM. | | | | | |
| **Legal Drafting Change**  *(Clearly show proposed code change using* ***tracked*** *changes, if proposer fails to identify changes, please indicate best estimate of potential changes)* | | | | | |
| **[Proposed changes to AP01]**   * 1. Updating Registration Details   All updates to the Registration Data of Registered Units are completed under Agreed Procedure 4 “Data Transaction Submission and Validation”, with the exception of changes to the Registered Capacity or Maximum Generation of an Aggregated Generator Unit, or the Firm Access Quantity of Trading Site s for each Trading Day t (FAQSst).  In the case of a change to the Registered Capacity or Maximum Generation of an Aggregated Generator Unit, the Participant must first send a Registration Pack with updated information for the Aggregated Generator Unit to the Market Operator, following the procedure in section 3.2.5, with no requirement for the Registration Fee to be paid. Once this Registration Pack has been received and validated, Agreed Procedure 4 “Data Transaction Submission and Validation” is then followed.  In the case of a change to the Firm Access Quantity of Trading Site s for each Trading Day t (FAQSst), the Participant shall inform the Market Operator of the change by completing the appropriate form which can be downloaded from the SEMO website. The form shall then be provided to the relevant System Operator and shall be validated in accordance with 2.69 of the Trading and Settlement Code. | | | | | |
|  | | | | | |
| **3.2.2** **Updating Registration Details**  Participants may submit updates and modifications to registration information, with the exception of the data specified in section 2.6 above, in the Market Operator’s Isolated Market System via the Market Participant Interface (MPI) using the Communication Channel(s) for which they have been previously qualified. Updates using Type 2 Channel or Type 3 Channel communication will be carried out in accordance with Agreed Procedure 4 “Data Transaction Submission and Validation”.  An update request to registration data, with the exception of the data specified in section 2.6 above , shall be submitted at least 3 Working Days before it becomes effective. This does not include Default Commercial Offer Data or a subset of Default Technical Offer Data, further details of which are available in Agreed Procedure 4 "Data Transaction Submission and Validation". Where a Generator Unit has Priority Dispatch, the Participant can change the Generator Unit’s Generic Settlement Class from Predictable Price Maker to Predictable Price Taker and vice-versa or Variable Price Maker to Variable Price Taker and vice-versa, subject to 29 Trading Days’ notice, by submitting a Unit Registration update to the Market Operator.  All updates and requests submitted by the Participant will be validated by the Market Operator (with the System Operators, Interconnector Administrator and/or Meter Data Providers as appropriate) before being approved and applied. Rejection and acceptance notifications are described in Agreed Procedure 4 "Data Transaction Submission and Validation". | | | | | |
| **3.2.5 Procedural Steps**   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | # | Procedural Step | Timing | Method | By/From | To | | 7 | Validate Registration Data provided by Participant, as set out in the Registration Pack;  Identify if there are any issues and clarifications required with information provided, if so, advise Market Operator and go back to step 4). Otherwise, confirm it is complete, and commence work on MDP/SO systems and processes to achieve Unit Registration. | Within 3 WDs of SO receipt of Registration Pack from MO; | Email | System Operator / Interconnector Administrator / Meter Data Provider | Market Operator/Market Participant | | | | | | |
| **Modification Proposal Justification**  *(Clearly state the reason for the Modification)* | | | | | |
| Certain registration data is validated by the System Operator as per Appendix H of the TSC prior to becoming effective in the market systems. One of these requirements is validation of the FAQst. The Firm Access Quantity of Trading Site (FAQSst) parameter will determine the level to which a generator unit can be scheduled in SEM. Currently the SO only has visibility of this at generating unit level as opposed to site level when validating the Generation Unit Data spreadsheet provided by SEMO. | | | | | |
| **Code Objectives Furthered**  *(State the Code Objectives the Proposal furthers, see Section 1.3 of T&SC for Code Objectives)* | | | | | |
| This modification would further objectives 1.3.2, 1.3.5 and 1.3.6 of the Trading and Settlement Code, by reducing the likelihood of an incorrect value being entered in the SEM Systems.   * to facilitate the efficient, economic and coordinated operation, administration and development of the Single Electricity Market in a financially secure manner * to provide transparency in the operation of the Single Electricity Market * to ensure no undue discrimination between persons who are parties to the Code | | | | | |
| **Implication of not implementing the Modification Proposal**  *(State the possible outcomes should the Modification Proposal not be implemented)* | | | | | |
| If this Modification is not implemented the validation of FAQ at site level may be applied incorrectly in the MSP software. This parameter has a material impact on the outcome of pricing and scheduling in SEM and an incorrect value may lead to incorrect MSQs and SMPs in SEM. | | | | | |
| **Working Group**  *(State if Working Group considered necessary to develop proposal)* | | | **Impacts**  *(Indicate the impacts on systems, resources, processes and/or procedures)* | | |
| N/A | | | An additional check is required by SEMO and SO at registration stage to validate the FAQst. Also if the firm access quantity is changed at site level due to upgrade/downgrade of transmission system and any other circumstances where the FAQst is affected a check will be performed between SEMO and SO. | | |
| ***Please return this form to Secretariat by email to*** [***modifications@sem-o.com***](mailto:modifications@sem-o.com) | | | | | |

**Notes on completing Modification Proposal Form:**

1. **If a person submits a Modification Proposal on behalf of another person, that person who proposes the material of the change should be identified on the Modification Proposal Form as the Modification Proposal Originator.**
2. **Any person raising a Modification Proposal shall ensure that their proposal is clear and substantiated with the appropriate detail including the way in which it furthers the Code Objectives to enable it to be fully considered by the Modifications Committee.**
3. **Each Modification Proposal will include a draft text of the proposed Modification to the Code unless, if raising a Provisional Modification Proposal whereby legal drafting text is not imperative.**
4. **For the purposes of this Modification Proposal Form, the following terms shall have the following meanings:**

**Agreed Procedure(s): means the detailed procedures to be followed by Parties in performing their obligations and functions under the Code as listed in Appendix D “List of Agreed Procedures”.**

**T&SC / Code: means the Trading and Settlement Code for the Single Electricity Market**

**Modification Proposal: means the proposal to modify the Code as set out in the attached form**

**Derivative Work: means any text or work which incorporates or contains all or part of the Modification Proposal or any adaptation, abridgement, expansion or other modification of the Modification Proposal**

**The terms “Market Operator”, “Modifications Committee” and “Regulatory Authorities” shall have the meanings assigned to those terms in the Code.**

**In consideration for the right to submit, and have the Modification Proposal assessed in accordance with the terms of Section 2 of the Code (and Agreed Procedure 12), which I have read and understand, I agree as follows:**

**1. I hereby grant a worldwide, perpetual, royalty-free, non-exclusive licence:**

* 1. **to the Market Operator and the Regulatory Authorities to publish and/or distribute the Modification Proposal for free and unrestricted access;**
  2. **to the Regulatory Authorities, the Modifications Committee and each member of the Modifications Committee to amend, adapt, combine, abridge, expand or otherwise modify the Modification Proposal at their sole discretion for the purpose of developing the Modification Proposal in accordance with the Code;**
  3. **to the Market Operator and the Regulatory Authorities to incorporate the Modification Proposal into the Code;**

**1.4 to all Parties to the Code and the Regulatory Authorities to use, reproduce and distribute the Modification Proposal, whether as part of the Code or otherwise, for any purpose arising out of or in connection with the Code.**

**2. The licences set out in clause 1 shall equally apply to any Derivative Works.**

**3. I hereby waive in favour of the Parties to the Code and the Regulatory Authorities any and all moral rights I may have arising out of or in connection with the Modification Proposal or any Derivative Works.**

**4. I hereby warrant that, except where expressly indicated otherwise, I am the owner of the copyright and any other intellectual property and proprietary rights in the Modification Proposal and, where not the owner, I have the requisite permissions to grant the rights set out in this form.**

**5. I hereby acknowledge that the Modification Proposal may be rejected by the Modifications Committee and/or the Regulatory Authorities and that there is no guarantee that my Modification Proposal will be incorporated into the Code.**