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| **MODIFICATION PROPOSAL FORM** | | | | | | |
| **Proposer**  *(Company)* | **Date of receipt**  *(assigned by Secretariat)* | | **Type of Proposal**  *(delete as appropriate)* | | **Modification Proposal ID**  *(assigned by Secretariat)* | |
| **SEMO** | **27 September 2011** | | **Standard** | | **Mod\_30\_11** | |
| **Contact Details for Modification Proposal Originator** | | | | | | |
| **Name** | | **Telephone number** | | **Email address** | | |
| **Marc Senouci** | | 01 2370135 | | **marc.senouci@sem-o.com** | | |
| **Modification Proposal Title** | | | | | | |
| **Assessment and Approval of Registration Data** | | | | | | |
| **Documents affected**  *(delete as appropriate)* | | **Section(s) Affected** | | **Version number of T&SC or AP used in Drafting** | | |
| **AP 1** | | Section 3.2.1, 3.2.4 & Appendix 1 | | Agreed Procedure 1 Version 9 | | |
| **Explanation of Proposed Change**  *(mandatory by originator)* | | | | | | |
| The proposed change stems from an EirGrid Internal audit recommendation to clarify the need for the TSO to assess and approve Technical Offer Data in the Registration Data for new Units to the Market.  On review this was extended to include the Distribution System Operator in order to include both Transmission and Distribution connected Units. | | | | | | |
| **Legal Drafting Change**  *(Clearly show proposed code change using* ***tracked*** *changes, if proposer fails to identify changes, please indicate best estimate of potential changes)* | | | | | | |
| Agreed Procedure 1: Section 3.2.1 Overview ( Paragraph 6)      Participant and Unit Registration   * + 1. Overview   In order to become a Participant a Party (or Applicant) must register a Unit.   * The process flow in Section details the timelines and information involved in the registration of a Unit. * The Party (or Applicant) downloads a Registration Pack from the MO Website. The Registration Pack includes the Participation Notice which can be used by the Party (or Applicant) to register its first or any subsequent Unit. * The Party (or Applicant) completes the Registration Pack and submits it to the Market Operator via registered mail. * The completed Registration Pack must be submitted to the Market Operator at least 60 Working Days prior to the Effective Date for the Unit, as requested in the Participation Notice. Note: this 60 working day timeline will not commence until the Market Operator has confirmed acceptance of the Registration Data. * Where the Party (or Applicant) is registering a new Generator Unit, the Meter Data Provider will validate the Meter Data for that Unit in accordance with the relevant Metering Code. * The Market Operator shall share all relevant Registration Data provided by the Party (or Applicant) with appropriate System Operators, Distribution System Operators, Interconnector Administrators or Meter Data Providers for the purpose of assessing and approving relevant data, processing registration and facilitating participation in respect of the Units. The dependencies on System Operators, Distribution System Operators Interconnector Administrators and Meter Data Providers are described in Section .   + 2. Assessment and Approval of Information of Data by External Organisations   The procedure in 3.2.5 requires the provision of registration data to the MO. The following table details the assessments and approvals of Registration Data required by organisations external to the Market Operator, i.e. the System Operator, Distribution System Operator, Interconnector Administrator, and/or Meter Data Provider. These organisations will facilitate registration by   * providing the Party (or Applicant) with support to complete the required registration information and * validating the information provided to the Market Operator within the timelines specified in this Agreed Procedure   The Party (or Applicant) should liaise with the System Operator, Interconnector Administrator, and/or Meter Data Provider as appropriate prior to the submission of the Registration Pack to facilitate the 20 Working Day validation of the registration details by the external organisations.  Units will be required by the System Operator to be compliant with the Grid Code or Distribution Code as appropriate.   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Unit Type | Registration Configuration | | Availability of Market Data | Confirm Active Date: Step 25 in | | | Supplier Unit | Retail Meter Data Provider assesses then approves or rejects retail market eligibility | | Retail Meter Data Provider: MDvh | Retail Meter Data Provider | | | Generator Unit (Transmission Connected, non-Autonomous) | System Operator assesses then approves or rejects relevant Registration Data and Technical Offer Data of Generator Unit | | System Operator: Dispatch Instructions, Availability  System Operator as Meter Data Provider: MGuh | System Operator | | | Generator Unit (Distribution Connected, non-Autonomous) | System Operator assesses then approves or rejects relevant Registration Data and Technical Offer Data of Generator Unit | | System Operator: Dispatch Instructions, Availability  System Operator as Meter Data Provider: MGuh | System Operator | | | Generator Unit (Transmission Connected, Autonomous) | System Operator assesses then approves or rejects relevant Registration Data and Technical Offer Data of Generator Unit | | System Operator as Meter Data Provider: MGuh | System Operator | | | Generator Unit (Distribution Connected, Autonomous | Retail Meter Data Provider confirms relationship between Generator and Generator Unit | | Retail Meter Data Provider: MGuh | Retail Meter Data Provider | | | Generator Unit (Distribution Connected, Autonomous | Distribution System Operator assesses then approves or rejects relevant Registration Data and Technical Offer Data of Generator Unit | |  | Distribution System Operator | | | Trading Site: Generator Unit / Supplier Unit configurations (Transmission Connected) | Transmission System Operator confirms configuration of Registration Data with Connection Agreement | | System Operator as Meter Data Provider, Retail Meter Data Provider: MDvh | Retail Meter Data Provider and System Operator as Meter Data Provider | | | Trading Site: Generator Unit / Supplier Unit configurations (Distribution Connected) | Distribution System Operator confirms configuration of Registration Data with Connection Agreement | | Retail Market Operator: MDvh | Retail Meter Data Provider | | | Interconnector Unit | Interconnector Administrator confirms Capacity Holding, AMP capability | | Interconnector Administrator: AIUCH | Interconnector Administrator | | | Demand Side Unit | System Operator assesses then approves or rejects relevant Registration Data and Technical Offer Data | | System Operator: Dispatch Instructions, Availability  Retail Meter Data Provider: MDvh | Retail Meter Data Provider and System Operator as Meter Data Provider | | | Aggregated Generator Unit (Predictable Price Maker or Predictable Price Taker, Variable Price Maker or Variable Price Taker, Transmission and/or Distribution Connected) | System Operator confirms relationship between relevant Generators, Aggregated Generator Unit, and Generator Aggregator as contained in the Generator Aggregator System Operator Agreement | | System Operator: Dispatch Instructions, Availability  System Operator as Meter Data Provider: MGuh | System Operator, and System Operator as Meter Data Provider | | |  |  | |  |  | | |  |  | |  |  | | | **Appendix 1: DEFINITIONS and Abbreviations**  **Definitions** | | | | | | | **Accession Deed** | | As defined in the Code | | | | **Accession Fee** | | As defined in the Code | | | | **Account ID** | | An identifier representing either a unique grouping of Generator Units or a unique grouping of Supplier Units. A Participant may have multiple Account IDs registered in the Central Market Systems. | | | | **Adjusted Participant** | | As defined in the Code | | | | **Aggregated Generator Unit** | | As defined in the Code | | | | **Agreed Procedure** | | As defined in the Code | | | | **AIUCH (**Active Interconnector Unit Capacity Holding | | As defined in the Code | | | | **AMP** | | Auction Management Platform | | | | **Applicant** | | As defined in the Code | | | | **Application Form** | | Means the form available on the MO Website which is required to be completed by an Applicant to become a Party to the Code. | | | | **Associated Supplier Unit** | | As defined in the Code | | | | | | | | |
| **Modification Proposal Justification**  *(Clearly state the reason for the Modification)* | | | | | |
| This modification is required to clarify the Registration process and obligations for all parties involved. It aims to align Agreed Procedure 1: “Participant and Unit Registration and Deregistration” with the obligations that are already set out in the Trading and Settlement Code. The clarification was highlighted by an internal Eirgrid audit.  The suggested changes to AP1 seek to align with the Trading and Settlement Code references below:  Paragraph J.5 in Appendix J:  *J.5 “The System Operator for the Currency Zone in which the Participant is registered shall validate the registration details and confirm to the Market Operator whether the registration information is accurate with respect to the data that such System Operator holds under the applicable Grid Code”.*  On review considering the number of Distribution Connected Generator Units registering in the SEM it was considered appropriate for this Modification to also include the Distribution System Operators and the Distribution Codes. Thereby ensuring equal treatment of all Generators in the SEM regardless of their point of connection.  And Paragraph 3.42:  *Submission and Approval of Validation Data Sets*  *3.42D Upon registration, and thereafter as required, a Participant shall submit a minimum of one and a maximum of six Validation Data Sets to the Market Operator for each Generator Unit. For each Validation Data Set submitted for approval, the Participant shall identify which of the six Validation Data Sets it is intended to comprise or replace.*  *3.42E The Market Operator shall provide each submitted Validation Data Set that is identified as such to the relevant System Operator for approval.* | | | | | |
| **Code Objectives Furthered**  *(State the Code Objectives the Proposal furthers, see Section 1.3 of T&SC for Code Objectives)* | | | | | |
| This Modification Proposal furthers Code Objectives:   * 1.3.2 “to facilitate the efficient, economic and coordinated operation, administration and development of the Single Electricity Market in a financially secure manner” * 1.3.3 “to facilitate the participation of electricity undertakings engaged in the generation, supply or sale of electricity in the trading arrangements under the Single Electricity Market; and * 1.3.6 “to ensure no undue discrimination between persons who are parties to the Code” | | | | | |
| **Implication of not implementing the Modification Proposal**  *(State the possible outcomes should the Modification Proposal not be implemented)* | | | | | |
| The risks of not implementing this Modification are as follows:   1. Misunderstanding over responsibilities under the Trading and Settlement Code and the Agreed Procedure. 2. Obligations with regards to assessment of Registration Data not being met. 3. Incorrect Registration Data might be entered in the market systems as a result of it not being assessed by the responsible party, which would have a material impact on the Market Schedules and Market Prices. | | | | | |
| **Working Group**  *(State if Working Group considered necessary to develop proposal)* | | | **Impacts**  *(Indicate the impacts on systems, resources, processes and/or procedures)* | | |
| No | | | No System impact in SEMO, IA or TSO but DSO impacts need to be assessed. The Unit Registration Data Spreadsheet has been updated to clarify the Registration Data that needs to be assessed then approved or rejected by the relevant party. | | |
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| ***Please return this form to Secretariat by email to*** [***modifications@sem-o.com***](mailto:modifications@sem-o.com) | | | | | |

**Notes on completing Modification Proposal Form:**

1. **If a person submits a Modification Proposal on behalf of another person, that person who proposes the material of the change should be identified on the Modification Proposal Form as the Modification Proposal Originator.**
2. **Any person raising a Modification Proposal shall ensure that their proposal is clear and substantiated with the appropriate detail including the way in which it furthers the Code Objectives to enable it to be fully considered by the Modifications Committee.**
3. **Each Modification Proposal will include a draft text of the proposed Modification to the Code unless, if raising a Provisional Modification Proposal whereby legal drafting text is not imperative.**
4. **For the purposes of this Modification Proposal Form, the following terms shall have the following meanings:**

**Agreed Procedure(s): means the detailed procedures to be followed by Parties in performing their obligations and functions under the Code as listed in Appendix D “List of Agreed Procedures”.**

**T&SC / Code: means the Trading and Settlement Code for the Single Electricity Market**

**Modification Proposal: means the proposal to modify the Code as set out in the attached form**

**Derivative Work: means any text or work which incorporates or contains all or part of the Modification Proposal or any adaptation, abridgement, expansion or other modification of the Modification Proposal**

**The terms “Market Operator”, “Modifications Committee” and “Regulatory Authorities” shall have the meanings assigned to those terms in the Code.**

**In consideration for the right to submit, and have the Modification Proposal assessed in accordance with the terms of Section 2 of the Code (and Agreed Procedure 12), which I have read and understand, I agree as follows:**

**1. I hereby grant a worldwide, perpetual, royalty-free, non-exclusive licence:**

* 1. **to the Market Operator and the Regulatory Authorities to publish and/or distribute the Modification Proposal for free and unrestricted access;**
  2. **to the Regulatory Authorities, the Modifications Committee and each member of the Modifications Committee to amend, adapt, combine, abridge, expand or otherwise modify the Modification Proposal at their sole discretion for the purpose of developing the Modification Proposal in accordance with the Code;**
  3. **to the Market Operator and the Regulatory Authorities to incorporate the Modification Proposal into the Code;**

**1.4 to all Parties to the Code and the Regulatory Authorities to use, reproduce and distribute the Modification Proposal, whether as part of the Code or otherwise, for any purpose arising out of or in connection with the Code.**

**2. The licences set out in clause 1 shall equally apply to any Derivative Works.**

**3. I hereby waive in favour of the Parties to the Code and the Regulatory Authorities any and all moral rights I may have arising out of or in connection with the Modification Proposal or any Derivative Works.**

**4. I hereby warrant that, except where expressly indicated otherwise, I am the owner of the copyright and any other intellectual property and proprietary rights in the Modification Proposal and, where not the owner, I have the requisite permissions to grant the rights set out in this form.**

**5. I hereby acknowledge that the Modification Proposal may be rejected by the Modifications Committee and/or the Regulatory Authorities and that there is no guarantee that my Modification Proposal will be incorporated into the Code.**